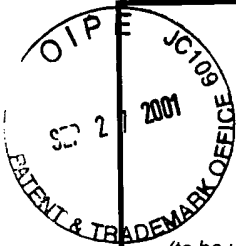


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Total Number of Pages in This Submission **86**

Application Number 09/813,290

Filing Date 03/20/01

First Named Inventor Walke

Group Art Unit 1645

Examiner Name To Be Assigned

Attorney Docket Number LEX-0151-USA

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ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

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☐ Amendment / Reply

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☐ Affidavits/declaration(s)

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☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

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☐ After Allowance Communication to Group

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☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):
return postcard
PTO 1449 and references

Remarks References cited in (2) Volumes

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

or

Individual name

Lance K. Ishimoto Reg. No. 41,866
Lexicon Genetics Incorporated

Signature

Lance K. Ishimoto by David W. Habler
DAVID W. HABLER
REG. NO. 41,071

Date

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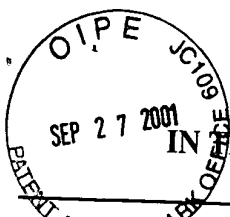
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Walke *et al.*

Serial No.: 09/813,290

Filed: 03/20/01

Title: Novel Human Secreted Proteins and
Polynucleotides Encoding the Same

Group Art Unit:
1645

Examiner:

to be assigned

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Attorney Docket No.: LEX-0151-USA

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

X under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international
application; or before mailing date of first office action on the merits; whichever occurs
last)

— under 37 CFR 1.97(c) together with either a:
— Certification under 37 CFR 1.97(e), or
— a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance,
whichever occurs first)

— under 37 CFR 1.97(d) together with a:
— Certification under 37 CFR 1.97(e), and
— a petition under 37 CFR 1.97(d)(2)(ii), and
— a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final action or notice of allowance, whichever occurs first, but before
payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with
copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s)
believe(s) may be material to the examination of this application and for which there may be a duty to

disclose in accordance with 37 CFR 1.56. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested. It is requested that the information disclosed herein be made of record in this application.

The Commissioner is hereby authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement to Lexicon Genetics Deposit Account No. 50-0892. A copy of this sheet is enclosed.

Respectfully submitted,

ZEB. no. 41,071
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Date: September 21, 2001